

# UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(b)

ASSISTANT COMMISSIONER FOR PATENTS

PATENT APPLICATION

Washington D.C. 20231

Case Docket No.: HME/K-10Q

Transmitted herewith for filing is the patent application of

INVENTOR OR APPLICATION IDENTIFIER: Hee Bok KANG and Jun Sik LEE

FOR: NONVOLATILE FERROELECTRIC MEMORY DEVICE AND METHOD FOR FABRICATING THE SAME

Enclosed are:

1. ☒ 45 pages of specification, claims, abstract
2. ☒ 38 sheets of FORMAL drawing.
3. ☒ 2 pages of newly executed Declaration & Power of Attorney (original).
4. ☒ Priority Claimed to Korean Appln. No. P1999-62647, filed 12/27/99, whose entire disclosure is incorporated herein by reference.
5. ☐ Small Entity Statement.
6. ☒ Information Disclosure Statement, Form PTO-1449 and three references.
7. ☒ Assignment Papers for Hyundai Electronics Industries Co., Ltd. (cover sheet, assignment & assignment fee).
8. ☒ Certified copy of Korean Patent Appln. No. P1999-62647, filed 12/27/99.
9. ☒ Two (2) return postcards.  
☒ Stamp & Return with Courier.  
☒ Prepaid Postcard-Stamped Filing Date & Returned with Unofficial Serial Number.
10. ☒ Authorization under 37 C.F.R. §1.136(a)(3).
11. ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
12. ☐ Other:

## CLAIMS AS FILED

For	No. Filed		No. Extra	Rate	Fee
Total Claims	24	- 20	4	X \$18.00	\$ 72.00
Indep. Claims	3	- 3	0	X \$80.00	
Multiple Dependent Claims (If applicable)				X \$270.00	
				BASIC FEE	\$710.00
				TOTAL FILING FEE	\$782.00

☐ This is a Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ filed \_\_\_\_\_. Incorporation By Reference-The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Amend the specification by inserting before the first line the sentence:

--This application is a continuation-in-part of Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.--

☒ A check in the amount of \$ 782.00 (Check # 9951) is attached.

☐ Please charge my Deposit Account No. 16-0607 in the amount of \$\_\_\_\_. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy is enclosed.

☒ Any additional filing fees required under 37 C.F.R. 1.16.

☒ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy of this sheet is enclosed.

☒ Any patent application processing fees under 37 C.F.R. 1.17.

☒ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.

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